

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
09469.006001

In Re Application Of:  
Glen H. Mullen, et. al.

#4

Serial No.

Filing Date  
October 18, 2001

Examiner

Group Art Unit

Title:

A SYSTEM AND METHOD FOR PROVIDING INTEGRATION VIA A DIAL-UP INTERFACE



Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).



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PATENT TRADEMARK OFFICE

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0591 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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\*This certificate may only be used if paying by deposit account

*Richard A. Fagin* Reg. No. 46,479  
Signature

Dated: October 18, 2001

for Richard A. Fagin, Reg. No. 39,182  
Rosenthal & Osha L.L.P.  
700 Louisiana, Suite 4500  
Houston, Texas 77002  
Telephone No.: (713) 228-8600  
Facsimile No.: (713) 228-8778

CC:

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

09469.006001

Application Number

Applicant(s)

Glen H. Mullen, et al.

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1050 U.S. PT  
09/982072  
10/18/01

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	5,442,342	08/15/1995	Kung	340	825.34	05/27/1993
	A2	5,497,421	03/05/1996	Kaufman et al.	380	23	09/28/1994
	A3	5,679,007	10/21/1997	Potdevin et al.	439	76.1	07/07/1995
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	A5	5,802,176	09/01/1998	Audebert	380	23	03/22/1996
	A6	5,887,065	03/23/1999	Audebert	380	23	10/02/1997
	A7	5,937,068	08/10/1999	Audebert	380	23	10/02/1997
	A8	6,050,494	04/18/2000	Song et al.	235	492	06/04/1998
	A9	6,189,096 B1	02/13/2001	Haverty	713	155	08/06/1998

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	A10	RFC 1334; Network Working Group, B. Lloyd et al., PPP Authentication Protocols", October 1992, 13 pages
	A11	RFC 1994; Network Working Group, W. Simpson et al., "PPP Challenge Handshake Authentication Protocol (CHAP)", Augus, 1996, 12 pages

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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INITIAL

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

B1

RFC 2058, Network Working Group, C. Rigney et al., "Remote Authentication Dial in User Service (RADIUS)", January 1997, 65 pages

B2

RFC 2284, Network Working Group, L. Blunk et al., "PPP Extensible Authentication Protocol (EAP)", March 1998, 16 pages

B3

RFC 2716, Network Working Group, B. Aboba et al., "PPP EAP TLS Authentication Protocol", October 1999, 25 pages

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.